



# Scientific Documentation

AL228, Aluminum Monostearate, NF

Not appropriate for regulatory submission.  
Please visit [www.spectrumchemical.com](http://www.spectrumchemical.com) or  
contact Tech Services for the most up-to-date  
information contained in this information  
package.

**Spectrum Chemical Mfg Corp**

769 Jersey Avenue  
New Brunswick, NJ 08901  
Phone 732.214.1300

Ver4.04 19.February.2018



Dear Customer,

Thank you for your interest in Spectrum's quality products and services.

Spectrum has been proudly serving the scientific community for over 45 years. It is our mission to manufacture and distribute fine chemicals and laboratory products with Quality and delivery you can count on every time.

To accomplish our mission, Spectrum utilizes our sourcing leverage and supplier qualification expertise in offering one of the industry's most comprehensive line of fine chemical products under one brand, in packaging configurations designed to meet your research and production requirements. Our product grades include: USP, NF, BP, EP, JP, FCC, ACS, KSA, Reagent grade, as well as DEA controlled substances. We operate facilities in the United States on the East Coast, West Coast, as well as in Shanghai, China in order to provide the best logistical support for our customers.

At Spectrum, Quality is priority number one. Suppliers with the best qualifications are preferred and we employ full-functioning in-house analytical laboratories at each of our facilities. Our facilities and systems are USFDA registered and ISO certified. We frequently host customer audits and cherish opportunities for improvements. Quality is engrained into our culture. Quality is priority number one.

In the following pages, we have designed and prepared documented scientific information to aid you in your initial qualification or your continual use of our products. Please do not hesitate to contact us if further information or the most up-to-date documentation is desired from any of the covered areas.

We appreciate your business and we look forward to hearing from you.

Sincerely,

A handwritten signature in black ink, appearing to read "Alan Wang", is written over a light gray rectangular background.

Alan Wang, MSQA, CQA  
Director, Global Supplier Quality Assurance  
[awang@spectrumchemical.com](mailto:awang@spectrumchemical.com)

**AL228**, Aluminum Monostearate, NF

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**spectrum**<sup>®</sup>  
CHEMICAL MFG CORP



# Specification for Aluminum Monostearate, NF (AL228)

Item Number	AL228
Item	Aluminum Monostearate, NF
CAS Number	7047-84-9
Molecular Formula	
Molecular Weight	
MDL Number	
Synonyms	

Test	Specification	
	Min	Max
ASSAY (Al <sub>2</sub> O <sub>3</sub> ; DRIED BASIS)	14.7	16.7 %
STEARIC AND PALMITIC ACID:		
STEARIC ACID	40.0 %	
SUM OF STEARIC AND PALMITIC ACID	90.0 %	
LOSS ON DRYING		3.0 %
ACIDITY AND ALKALINITY	TO PASS TEST	
ARSENIC (As)		4 ppm
ELEMENTAL IMPURITIES	AS REPORTED	
IDENTIFICATION A	TO PASS TEST	
IDENTIFICATION B	TO PASS TEST	
EXPIRATION DATE		
MANUFACTURE DATE		
APPEARANCE		
RESIDUAL SOLVENTS	TO PASS TEST	

### *Spectrum Chemical Mfg Corp*

**Corporate Headquarters:**  
769 Jersey Ave.  
New Brunswick, NJ 08901  
732.214.1300

**West Coast Facility:**  
14422 S. San Pedro St.  
Gardena, CA 90248  
310.516.8000

## SAFETY DATA SHEET

Preparation Date: 7/3/2017

Revision Date: 7/3/2017

Revision Number: G1

### 1. IDENTIFICATION

#### Product identifier

**Product code:** AL228  
**Product Name:** ALUMINUM MONOSTEARATE, NF

#### Other means of identification

**Synonyms:** Aluminum, dihydroxy(octadecanoato-O)- (9CI)  
 Aluminum, dihydroxide stearate  
 Dibasic aluminum stearate  
 Dihydroxyaluminum stearate

**CAS #:** 7047-84-9  
**RTECS #** WI2820000  
**CI#:** Not available

#### Recommended use of the chemical and restrictions on use

**Recommended use:** No information available.  
**Uses advised against** No information available

**Supplier:** Spectrum Chemical Mfg. Corp  
 14422 South San Pedro St.  
 Gardena, CA 90248  
 (310) 516-8000.

**Order Online At:** <https://www.spectrumchemical.com>

**Emergency telephone number** Chemtrec 1-800-424-9300

**Contact Person:** Martin LaBenz (West Coast)

**Contact Person:** Ibad Tirmiz (East Coast)

### 2. HAZARDS IDENTIFICATION

#### Classification

This chemical is considered hazardous according to the 2012 OSHA Hazard Communication Standard (29 CFR 1910.1200)

Not a dangerous substance or mixture according to the Globally Harmonized System (GHS)

Combustible dust

#### Label elements

#### Warning

May form combustible dust concentrations in air

#### Hazards not otherwise classified (HNOC)

Not Applicable

#### Other hazards

**Product code:** AL228

**Product name:** ALUMINUM  
 MONOSTEARATE, NF

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Not available

#### Precautionary Statements - Prevention

Keep away from all ignition sources including heat, sparks, and flame  
Keep container closed and grounded  
Prevent dust accumulations to minimize explosion hazard

### 3. COMPOSITION/INFORMATION ON INGREDIENTS

Components	CAS-No.	Weight %
Aluminum Monostearate	7047-84-9	100

### 4. FIRST AID MEASURES

#### First aid measures

**General Advice:** National Capital Poison Center in the United States can provide assistance if you have a poison emergency and need to talk to a poison specialist. Call 1-800-222-1222.

**Skin Contact:** Wash off immediately with soap and plenty of water removing all contaminated clothing and shoes. Get medical attention if irritation develops. Consult a physician if necessary.

**Eye Contact:** Flush eyes with water for 15 minutes. Get medical attention if irritation occurs. If symptoms persist, call a physician.

**Inhalation:** Move to fresh air. If not breathing, give artificial respiration. If breathing is difficult, give oxygen. Get medical attention.

**Ingestion:** Do not induce vomiting without medical advice. Never give anything by mouth to an unconscious person. Consult a physician if necessary.

#### Most important symptoms and effects, both acute and delayed

**Symptoms** May cause eye/skin irritation. Health injuries are not known or expected under normal use.

#### Indication of any immediate medical attention and special treatment needed

**Notes to Physician:** Treat symptomatically.

#### Protection of first-aiders

First-Aid Providers: Avoid exposure to blood or body fluids. Wear gloves and other necessary protective clothing. Dispose of contaminated clothing and equipment as bio-hazardous waste.

### 5. FIRE-FIGHTING MEASURES

#### Extinguishing Media

**Suitable Extinguishing Media:** Dry chemical. Carbon dioxide (CO<sub>2</sub>). Water spray mist or foam.

**Unsuitable Extinguishing Media:** No information available.

#### Specific hazards arising from the chemical

**Hazardous Combustion Products:** Carbon Monoxide, Carbon Dioxide. Aluminum oxides.

**Specific hazards:** May be combustible at high temperatures. Avoid generating dust. Fine dust dispersed in air in sufficient concentrations, and in the presence of an ignition source is a potential dust explosion hazard.

### **Special Protective Actions for Firefighters**

**Specific Methods:** No information available.

**Special Protective Equipment for Firefighters:** As in any fire, wear self-contained breathing apparatus pressure-demand, MSHA/NIOSH (approved or equivalent) and full protective gear

## **6. ACCIDENTAL RELEASE MEASURES**

### **Personal precautions, protective equipment and emergency procedures**

**Personal Precautions:** Ensure adequate ventilation. Use personal protective equipment. Avoid contact with skin, eyes and clothing. Remove all sources of ignition. Avoid dust formation. Avoid dispersal of dust in the air. Dust deposits should not be allowed to accumulate on surfaces, as these may form an explosive mixture if they are released into the atmosphere in sufficient concentration.

**Environmental precautions** Prevent further leakage or spillage if safe to do so.

### **Methods and material for containment and cleaning up**

**Methods for containment** Stop leak if you can do it without risk. Cover with plastic sheet to prevent spreading.

**Methods for cleaning up** Sweep up and shovel into suitable containers for disposal. Avoid creating dust. Use only non-sparking tools. Clean contaminated surface thoroughly.

## **7. HANDLING AND STORAGE**

### **Precautions for safe handling**

#### **Technical Measures/Precautions:**

Provide sufficient air exchange and/or exhaust in work rooms. Avoid dust formation. Minimize dust generation and accumulation. Dry powders can build static electricity charges when subjected to friction of transfer and mixing operations. All equipment used when handling the product must be grounded. Routine housekeeping should be instituted to ensure that dusts do not accumulate on surfaces. Keep away from incompatible materials.

#### **Safe Handling Advice**

Wear personal protective equipment. Avoid contact with skin, eyes and clothing. Keep away from heat and sources of ignition. Avoid dust formation. Do not ingest. Do not breathe dust. Handle in accordance with good industrial hygiene and safety practice.

### **Conditions for safe storage, including any incompatibilities**

#### **Technical Measures/Storage Conditions:**

Keep container tightly closed in a dry and well-ventilated place. Store at room temperature in the original container. Store away from incompatible materials.

#### **Incompatible Materials:**

Oxidizing agents

## **8. EXPOSURE CONTROLS/PERSONAL PROTECTION**

### **Control parameters**

## National occupational exposure limits

### United States

Components	CAS-No.	OSHA	NIOSH	ACGIH	AIHA WHEEL
Aluminum Monostearate	7047-84-9	None	None	None	None

### Canada

Components	CAS-No.	Canada - Alberta	Canada - British Columbia	Canada - Ontario	Canada - Quebec
Aluminum Monostearate	7047-84-9	None	None	None	None

### Australia and Mexico

Components	CAS-No.	Australia	Mexico
Aluminum Monostearate	7047-84-9	None	None

## Appropriate engineering controls

### Engineering measures to reduce exposure:

Ensure adequate ventilation. Use process enclosures, local exhaust ventilation, or other engineering controls to keep airborne levels below recommended exposure limits. If user operations generate dust, fume or mist, use ventilation to keep exposure to airborne contaminants below the exposure limit. Ensure that dust-handling systems (such as exhaust ducts, dust collectors, vessels, and processing equipment) are designed in a manner to prevent the escape of dust into the work area (i.e. there is no leakage from the equipment) It is recommended that all dust control equipment such as local exhaust ventilation and material transport systems involved in the handling of this product contain explosion relief vents or an explosion suppression system or an oxygen-deficient environment

## Individual protection measures, such as personal protective equipment

### Personal Protective Equipment

- Eye protection:** Goggles or Safety glasses with side-shields
- Skin and body protection:** Long sleeved clothing  
Gloves  
Chemical resistant apron
- Respiratory protection:** Effective dust mask. Use a dust respirator under conditions where exposure to the substance is apparent (e.g. generation of high concentration of dust (dust clouds) , inadequate ventilation, development of respiratory tract irritation), and engineering controls are not feasible. Be sure to use an approved/certified respirator or equivalent.
- Hygiene measures:** Avoid contact with skin, eyes and clothing. When using, do not eat, drink or smoke. Wash hands before breaks and immediately after handling the product.

## 9. PHYSICAL AND CHEMICAL PROPERTIES



<b>Physical state:</b> Solid	<b>Appearance:</b> Fine powder.	<b>Color:</b> White. Yellowish White.
<b>Odor:</b> No information available.	<b>Taste</b> No information available.	<b>Formula:</b> No information available
<b>Molecular/Formula weight:</b> No information available	<b>Flammability:</b> No information available	<b>Flashpoint (°C/°F):</b> No information available.
<b>Flash Point Tested according to:</b> Not available	<b>Autoignition Temperature (°C/°F):</b> No information available	<b>Lower Explosion Limit (%):</b> No information available
<b>Upper Explosion Limit (%):</b> No information available	<b>Melting point/range(°C/°F):</b> 200-235 °C/392-455 °F	<b>Decomposition temperature(°C/°F):</b> No information available
<b>Boiling point/range(°C/°F):</b> No information available	<b>Bulk density:</b> No information available	<b>Density (g/cm3):</b> No information available
<b>Specific gravity:</b> 1.14	<b>pH:</b> No information available	<b>Vapor pressure @ 20°C (kPa):</b> No information available
<b>Evaporation rate:</b> No information available	<b>Vapor density:</b> No information available	<b>VOC content (g/L):</b> No information available
<b>Odor threshold (ppm):</b> No information available	<b>Partition coefficient (n-octanol/water):</b> No information available	<b>Viscosity:</b> No information available
<b>Miscibility:</b> No information available	<b>Solubility:</b> Insoluble in water	

## 10. STABILITY AND REACTIVITY

### Reactivity

No information available

### Chemical stability

**Stability:** Stable under recommended storage conditions.

**Possibility of Hazardous Reactions:** Hazardous polymerization does not occur

**Conditions to avoid:** Excess Heat. Avoid dust formation. Dust may form explosive mixture in air. Fine dust dispersed in air in sufficient concentrations, and in the presence of an ignition source is a potential dust explosion hazard. Incompatible materials.

**Incompatible Materials:** Oxidizing agents

**Hazardous decomposition products:** No information available.

### Other Information

**Corrosivity:** No information available

**Special Remarks on Corrosivity:** No information available

## 11. TOXICOLOGICAL INFORMATION

## Information on likely routes of exposure

### Principal Routes of Exposure:

Ingestion. Inhalation.

## Acute Toxicity

### Component Information

Aluminum Monostearate	
CAS-No.	7047-84-9

**LD50/oral/rat** = No information available  
**LD50/oral/mouse** = No information available  
**LD50/dermal/rabbit** = No information available  
**LD50/dermal/rat** = No information available  
**LC50/inhalation/rat** = No information available  
**LC50/inhalation/mouse** = No information available  
**Other LD50 or LC50 information** = No information available

### Product Information

**LD50/oral/rat** =  
**VALUE- Acute Tox Oral** = No information available

**LD50/oral/mouse** =  
**Value - Acute Tox Oral** = No information available

**LD50/dermal/rabbit**  
**VALUE-Acute Tox Dermal** = No information available

**LD50/dermal/rat**  
**VALUE -Acute Tox Dermal** = No information available

**LC50/inhalation/rat**  
**VALUE-Vapor** = No information available  
**VALUE-Gas** = No information available  
**VALUE-Dust/Mist** = No information available

**LC50/Inhalation/mouse**  
**VALUE-Vapor** = No information available  
**VALUE - Gas** = No information available  
**VALUE - Dust/Mist** = No information available

## Symptoms

**Skin Contact:** May cause skin irritation.  
**Eye Contact:** May cause eye irritation.  
**Inhalation** May cause respiratory irritation.  
**Ingestion** No information available. Health injuries are not known or expected under normal use.  
**Aspiration hazard** No information available.

## Delayed and immediate effects as well as chronic effects from short and long-term exposure

**Chronic Toxicity** No information available.

**Sensitization:** No information available.

**Mutagenic Effects:** No information available

**Carcinogenic effects:** Not considered carcinogenic.

Components	CAS-No.	IARC	ACGIH - Carcinogens	NTP	OSHA HCS - Carcinogens	Australia - Notifiable Carcinogenic Substances	Australia - Prohibited Carcinogenic Substances
Aluminum Monostearate	7047-84-9	Not listed	Not listed	Not listed	Not listed	Not listed	Not listed

*ACGIH (American Conference of Governmental Industrial Hygienists)*

*IARC (International Agency for Research on Cancer)*

*NTP (National Toxicology Program)*

*OSHA (Occupational Safety and Health Administration of the US Department of Labor)*

**Reproductive toxicity** No data is available

**Reproductive Effects:** No information available

**Developmental Effects:** No information available

**Teratogenic Effects:** No information available

**Specific Target Organ Toxicity**

**STOT - single exposure** No information available.

**STOT - repeated exposure** No information available.

**Target Organs:** No information available.

**12. ECOLOGICAL INFORMATION**

**Ecotoxicity**

**Ecotoxicity effects:** No data available.

**Persistence and degradability:** No information available

**Bioaccumulative potential:** No information available.

**Mobility:** No information available.

**13. DISPOSAL CONSIDERATIONS**

**Disposal Methods**

**Waste from residues / unused products:**

Waste must be disposed of in accordance with Federal, State and Local regulation.

**Contaminated packaging:**

Empty containers should be taken for local recycling, recovery or waste disposal

Components	CAS-No.	RCRA - F Series	RCRA - K Series	RCRA - P Series	RCRA - U Series
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**Product code:** AL228

**Product name:** ALUMINUM  
MONOSTEARATE, NF

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		Wastes	Wastes	Wastes	Wastes
Aluminum Monostearate	7047-84-9	None	None	None	None

## 14. TRANSPORT INFORMATION

### DOT

**UN-No:** Not Regulated  
**Proper Shipping Name:** No information available  
**Hazard Class:** No information available  
**Subsidiary Class:** No information available  
**Packing group:** No information available  
**Emergency Response Guide Number:** No information available  
**Marine Pollutant:** No data available  
**DOT RQ (lbs):** No information available  
**Special Provisions:** No Information available  
**Symbol(s):** No information available  
**Description:** No information available

### TDG (Canada)

**UN-No:** Not Regulated  
**Proper Shipping Name:** No information available  
**Hazard Class:** No information available  
**Subsidiary Risk:** No information available  
**Packing Group:** No information available  
**Marine Pollutant:** No Information available  
**Description:** No information available

### ADR

**UN-No:** Not Regulated  
**Proper Shipping Name:** No information available  
**Hazard Class:** No information available  
**Packing Group:** No information available  
**Subsidiary Risk:** No information available

### IMO / IMDG

**UN-No:** Not Regulated  
**Proper Shipping Name:** No information available  
**Hazard Class:** No information available  
**Subsidiary Risk:** No information available  
**Packing Group:** No information available  
**Marine Pollutant:** No information available

### RID

**UN-No:** Not Regulated  
**Proper Shipping Name:** No information available  
**Hazard Class:** No information available  
**Subsidiary Risk:** No information available  
**Packing Group:** No information available

### ICAO

**UN-No:** Not Regulated  
**Proper Shipping Name:** No information available  
**Hazard Class:** No information available  
**Subsidiary Risk:** No information available  
**Packing Group:** No information available

### IATA

**Product code:** AL228

**Product name:** ALUMINUM  
MONOSTEARATE, NF

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**UN-No:** Not Regulated  
**Proper Shipping Name:** No information available  
**Hazard Class:** No information available  
**Subsidiary Risk:** No information available  
**Packing Group:** No information available  
**ERG Code:** No information available  
**Special Provisions** No information available

**15. REGULATORY INFORMATION**

**International Inventories**

Components	CAS-No.	U.S. TSCA	KOREA KECL	Philippines (PICCS)	Japan ENCS	CHINA	Australia (AICS)	EINECS-No.
Aluminum Monostearate	7047-84-9	Present	Present KE-01005	Present	Present (2)-625 (2)-3007	Present	Present	Present 230-325-5

**U.S. Regulations**

**California Prop. 65: Safe Drinking Water and Toxic Enforcement Act of 1986.**

**Chemicals Known to the State of California to Cause Cancer:**

This product does not contain a chemical requiring a warning under California Prop. 65. (See table below)

**Chemicals Known to the State of California to Cause Reproductive Toxicity:**

This product does not contain a chemical requiring a warning under California Prop. 65. (See table below)

Components	CAS-No.	Carcinogen	Developmental Toxicity	Male Reproductive Toxicity	Female Reproductive Toxicity:
Aluminum Monostearate	7047-84-9	Not Listed	Not Listed	Not Listed	Not Listed

**CERCLA/SARA**

Components	CAS-No.	CERCLA - Hazardous Substances and their Reportable Quantities	Section 302 Extremely Hazardous Substances and TPQs	Section 302 Extremely Hazardous Substances and RQs	Section 313 - Chemical Category	Section 313 - Reporting de minimis
Aluminum Monostearate	7047-84-9	None	None	None	None	None

**U.S. TSCA**

Components	CAS-No.	TSCA Section 5(a)2 - Chemicals With Significant New Use Rules (SNURS)	TSCA 8(d) -Health and Safety Reporting
Aluminum Monostearate	7047-84-9	Not Applicable	Not Applicable

**Canada**

**WHMIS 2015 - GHS Classifications**

WHMIS 2015 Hazard Classification Information: Not a dangerous product according to HPR classification criteria.

**Canada Hazardous Products Regulation** This product has been classified according to the hazard criteria of the HPR (Hazardous Products Regulation) and the SDS contains all of the information required by the HPR

**WHMIS 1988 Hazard Class**

Non-controlled

**Components**

Aluminum Monostearate

WHMIS 1988

Uncontrolled product according to WHMIS classification criteria

**Canada Controlled Products Regulation:**

This product has been classified according to the hazard criteria of the CPR (Controlled Products Regulation) and the MSDS contains all of the information required by the CPR.

**Inventory**

Components	CAS-No.	Canada (DSL)	Canada (NDSL)
Aluminum Monostearate	7047-84-9	Present	Not Listed

Components	CAS-No.	CEPA Schedule I - Toxic Substances
Aluminum Monostearate	7047-84-9	Not listed
Components	CAS-No.	CEPA - 2010 Greenhouse Gases Subject to Mandatory Reporting
Aluminum Monostearate	7047-84-9	Not listed

**EU Classification**

EU GHS - SV - CLP 172/2008

Components	CAS-No.	EU GHS - SV - CLP (172/2008)
Aluminum Monostearate	7047-84-9	

EU - CLP (1272/2008)

**R-phrase(s)**

not determined (not applicable)

**S -phrase(s)**

none

Components	CAS-No.	Classification	Concentration Limits:	Safety Phrases
Aluminum Monostearate	7047-84-9		No information	

The product is classified in accordance with Annex VI to Directive 67/548/EEC

**Indication of danger:**

None.

**16. OTHER INFORMATION**

Preparation Date: 7/3/2017  
 Revision Date: 7/3/2017  
 Prepared by: Sonia Owen

**Disclaimer:**

All chemicals may pose unknown hazards and should be used with caution. This Safety Data Sheet (SDS) applies only to the material as packaged. If this product is combined with other materials, deteriorates, or becomes contaminated, it may pose hazards not mentioned in this SDS. The physical properties reported in this SDS are obtained from the literature and do not constitute product specifications.

Product code: AL228

Product name: ALUMINUM  
MONOSTEARATE, NF

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Information contained herein does not constitute a warranty, whether expressed or implied, as to the safety, merchantability or fitness of the goods for a particular purpose. Spectrum Chemicals & Laboratory Products, Inc. assumes no responsibility for results obtained or for incidental or consequential damages, including lost profits, arising from the use of these data. No warranty against infringement of any patent, copyright or trademark is made or implied. It shall be the user's responsibility to develop proper methods of handling and personal protection based on the actual conditions of use. While this SDS is based on technical data judged to be reliable, Spectrum assumes no responsibility for the completeness or accuracy of the information contained herein.

**End of Safety Data Sheet**



## **Manufacturer**

Product Covered: AL228, Aluminum Monostearate, NF

Spectrum takes ownership as the manufacturer for the Spectrum product listed above and provides full quality assurance, customer service, and technical product support.

While information concerning the raw material manufacturer of our starting materials is considered proprietary, such information can be made available upon request contingent on demonstration of business volume justification and successful execution of confidential disclosure agreement (CDA).

Requests for disclosure of proprietary raw material manufacturer information may be submitted to the assigned account representative for consideration.

Sincerely,

A handwritten signature in black ink, appearing to read "Alan Wang", is written over a light gray rectangular background.

Alan Wang, MSQA, CQA  
Director, Global Supplier Quality Assurance  
[awang@spectrumchemical.com](mailto:awang@spectrumchemical.com)



# CERTIFICATE OF REGISTRATION

This is to certify that the quality management system of:

## Spectrum Chemicals and Laboratory Products, Inc.

Main Site: 14422 South San Pedro Street, Gardena, California, 90248,  
USA,

Additional sites: 13915 South Main Street, Los Angeles, California,  
90061, USA

769 Jersey Avenue, New Brunswick, New Jersey, 08901, USA

755 Jersey Avenue, New Brunswick, New Jersey, 08901, USA

777 Jersey Avenue, New Brunswick, New Jersey, 08901, USA

400 N. Oracle, Suite 221, Tucson, Arizona, 85704, USA, USA

has been assessed and registered by Intertek as conforming to the  
requirements of:

## ISO 9001:2015

The quality management system is applicable to:

Main Site: The manufacture and distribution of specialty fine  
chemicals, solutions, equipment, supplies and products for the  
laboratory, pharmaceutical, food, healthcare, cosmetic, fragrance,  
biotechnology, environmental and process industries.

**Certificate Number:**

94-316m-03

**Initial Certification Date:**

27 December 1994

**Certificate Issue Date:**

31 July 2017

**Certificate Expiry Date:**

28 January 2019



A handwritten signature in black ink, appearing to read 'Calin Moldovean', written over a horizontal line.

**Authorized Signature**

**Calin Moldovean**

President Business Assurance

Intertek Testing Services NA, Inc. dba Intertek  
900 Chelmsford Street, Lowell, MA, USA



# APPENDIX TO CERTIFICATE OF REGISTRATION

This appendix identifies the locations by the management system of

## Spectrum Chemicals and Laboratory Products, Inc.

755 and 769 Jersey Avenue: The manufacture and distribution of specialty fine chemicals, solutions, equipment, supplies and products for the laboratory, pharmaceutical, food, healthcare, cosmetic, fragrance, biotechnology, environmental and process industries.

777 Jersey Avenue: The manufacture and distribution of specialty fine chemicals, solutions, equipment, supplies and products for Compounding Pharmacies.

7400 N. Oracle: The manufacture and distribution of specialty fine chemicals, solutions, equipment, supplies and products for the laboratory, pharmaceutical, food, healthcare, cosmetic, fragrance, biotechnology, environmental and process industries.



# 管理体系认证 注册证书

兹证明，以下组织：

## 斯百全化学（上海） 有限公司

上海市松江区申港路3802弄A20

的管理体系，符合以下标准要求并予以注册：

## ISO 9001:2015

此管理体系适用于：

药用辅料销售，化学品销售

统一社会信用代码：

91310000777627048K

证书编号：

111310001

首次认证日期：

2015年11月17日

认证决定日期：

2017年12月26日

签发日期：

2017年12月26日

有效期至：

2018年11月10日



014

**Calin Moldovean**

President, Business Assurance

Intertek Certification Limited, 10A Victory  
Park, Victory Road, Derby DE24 8ZF, United  
Kingdom

Intertek Certification Limited  
是 UKAS 认可的认证机构，  
注册号为 014



# CERTIFICATE OF REGISTRATION

This is to certify that the management system of:

## Spectrum China Ltd.

Building A20, No. 3802, Shengang Road, Songjiang District, Shanghai, China

has been registered by Intertek as conforming to the requirements of:

## ISO 9001:2015

The management system is applicable to:

Sales for Pharmaceutical Excipients, Sales for Chemicals

**Unified Social Credit Identifier:**

91310000777627048K

**Certificate Number:**

111310001

**Initial Certification Date:**

17 November 2015

**Date of Certification Decision:**

26 December 2017

**Issuing Date:**

26 December 2017

**Valid Until:**

10 November 2018



014

A handwritten signature in black ink, appearing to read 'Calin Moldovean'.

**Calin Moldovean**

President, Business Assurance

Intertek Certification Limited, 10A Victory Park, Victory Road, Derby DE24 8ZF, United Kingdom

Intertek Certification Limited is a UKAS accredited body under schedule of accreditation no. 014.



July 13, 2017

**Certification of Compliance with current Good Manufacturing Practices**

Dear Valued Customer:

Spectrum Chemical Mfg Corp certifies that our USP, NF, FCC, EP, BP, JP and food grade products are produced, processed, packaged and held in compliance with current Good Manufacturing Practices (cGMP) in accordance with the applicable parts of 21 CFR, parts 210 and 211 of the Code of Federal Regulations.

Spectrum is an FDA registered and inspected Drug Establishment. Our United States Food and Drug Administration (USFDA) Registration numbers are as follows:

Spectrum-Gardena, CA:	<u>2020632</u>
Spectrum-New Brunswick, NJ:	<u>2246824</u>
Spectrum-Shanghai, China:	<u>3006174778</u>

Thank you for your interest in Spectrum products. If we may be of further assistance, please feel free to contact the Quality Assurance department at 310-516-8000 or via email at [qualityassurance@spectrumchemical.com](mailto:qualityassurance@spectrumchemical.com)

Sincerely,



Oralia Chavez  
Quality Assurance Specialist

February 19, 2018

**Certification of Compliance with current Good Manufacturing Practices**

Dear Valued Customer:

Spectrum Chemical Mfg Corp certifies that the following product(s) is produced, processed, packaged and held in compliance with current Good Manufacturing Practices (cGMP) in accordance with the applicable parts of 21 CFR, parts 210 and 211 of the Code of Federal Regulations.

Catalog Number	Product Name
AL228	Aluminum Monostearate, NF

Spectrum is an FDA registered and inspected Drug Establishment. Our United States Food and Drug Administration (USFDA) Registration numbers are as follows:

Spectrum-Gardena, CA: 2020632  
Spectrum-New Brunswick, NJ: 2246824  
Spectrum-Shanghai, China: 03006174778

Thank you for your interest in Spectrum products. If we may be of further assistance, please feel free to contact the Quality Assurance department at 310-516-8000 or via email at [qualityassurance@spectrumchemical.com](mailto:qualityassurance@spectrumchemical.com)

Sincerely,



Oralia Chavez  
Quality Assurance Specialist



Sept 6, 2017

Re: Aluminum Monostearate, NF- AL228

To Whom It May Concern:

Thank you for your interest in Spectrum high quality chemicals.

We at Spectrum Chemical Mfg. Corp. understand the concern regarding the presence of allergens in raw materials. Please be assured that the following chemical does not contain (Milk; Egg; Fish; Shellfish; Sulfur dioxide and sulfites at concentrations of more than 10 mg/kg or 10 mg/liter expressed as SO<sub>2</sub>; Tree nuts; Wheat; Peanuts; Cereals containing Gluten; Celery; Mustard; Sesame Seed).

Aluminum Monostearate, NF- AL228

If you have any further questions, please contact Tech Services at (310) 516-8000 Extension 5471, or by email at [techservices@spectrumchemical.com](mailto:techservices@spectrumchemical.com).

Sincerely,

Darlene Dagdag-Lyudmirskiy  
Technical Services  
Spectrum Chemical Mfg. Corp.

This document has been produced electronically and is valid without a signature



Sep 6, 2017

Re: Aluminum Monostearate, NF- AL228

To Whom It May Concern:

Thank you for your interest in Spectrum high quality chemicals.

We at Spectrum Chemical Mfg. Corp. understand the concern regarding Aflatoxins in raw materials. To the best of our knowledge, the product listed below is not contaminated with Aflatoxins. Aflatoxins are not used in production. The following product does not come in contact with Aflatoxins at any stage of production.

Aluminum Monostearate, NF- AL228

If you have any further questions, please contact Tech Services at (310) 516-8000 Extension 5471, or by email at [techservices@spectrumchemical.com](mailto:techservices@spectrumchemical.com).

Sincerely,

Darlene Dagdag-Lyudmirskiy  
Technical Services  
Spectrum Chemical Mfg. Corp.

This document has been produced electronically and is valid without a signature



Item Number	AL228	Lot Number	1GJ0187
Item	Aluminum Monostearate, NF		
CAS Number	7047-84-9		
Molecular Formula		Molecular Weight	

Test	Specification		Result
	min	max	
ASSAY (Al <sub>2</sub> O <sub>3</sub> )	14.5	16.5 %	15.60 %
LOSS ON DRYING		2.0 %	1.20 %
ARSENIC (As)		4 ppm	<4 ppm
HEAVY METALS		50 µg/g	<50 µg/g
IDENTIFICATION A	TO PASS TEST		PASSES TEST
IDENTIFICATION B	TO PASS TEST		PASSES TEST
EXPIRATION DATE			30-AUG-2019
MANUFACTURE DATE			30-AUG-2017
APPEARANCE			WHITE POWDER
RESIDUAL SOLVENTS	TO PASS TEST		NO RESIDUAL SOLVENTS USED

Spectrum Chemical Mfg Corp  
14422 South San Pedro Street  
Gardena 90248 CA

Certificate of Analysis Results Certified By:



Adan Hernandez  
Quality Control Manager  
Spectrum Chemicals & Laboratory Products



All pharmaceutical ingredients are tested using current edition of applicable pharmacopeia.

Read and understand label and MSDS/SDS before handling any chemicals. All Spectrum's chemicals are for manufacturing, processing, repacking or research purposes by experienced personal only. The customer must ensure to provide its users adequate hazardous material training and appropriate protective gears before handling our chemicals.

## Label Information

The Spectrum label presents technical and safety information in an easily understood format. Our technical specialists stay abreast of the latest requirements of the Globally Harmonized System for Classification and Labelling of Chemicals (GHS), as well as the Occupational Safety and Health Administration (OSHA), the Food and Drug Administration (FDA) and other government regulatory agencies in order to ensure compliance, accuracy and concise hazard communication.

**WARNING** **C**

• **May form combustible (explosive) dust-air mixtures.**

• Keep away from all ignition sources including heat, sparks and flame • Keep container closed and grounded • Prevent dust accumulations to minimize explosion hazard. This material has been evaluated per GHS criteria and is not classified as hazardous under GHS. All chemicals may pose unknown hazards and must be used with caution. Use personal protection and safe handling methods consistent with proper workplace safety practices. Consult SDS for additional properties and precautions. If adverse reaction occurs seek medical attention.

**KEEP FROM CHILDREN**



**AL228** **D** **F** **2.5 KG**

**Aluminum Monostearate**

N.F.

**G** CAS 7047-84-9

**H** **CAUTION:** For manufacturing, processing or repacking.  
**Read and understand the label and Safety Data Sheet (SDS) prior to use.**

**J** **Chemical Emergency: (800)424-9300**

[www.SpectrumChemical.com](http://www.SpectrumChemical.com)

**K** Aluminum monostearate is a compound of aluminum with a mixture of solid organic acids obtained from fats, and consists chiefly of variable proportions of aluminum monostearate and aluminum monopalmitate.

Assay (Al<sub>2</sub>O<sub>3</sub>; Dried Basis) ..... 14.5-16.5%  
**MAXIMUM LIMITS**

Loss on Drying ..... 2.0%  
Arsenic (As) ..... 4 ppm  
Heavy Metals ..... 50 µg/g  
Residual Solvents ..... To pass test

**WARNING:** This product contains a chemical known to the State of California to cause cancer.

**WARNING:** This product contains a chemical known to the State of California to cause birth defects or other reproductive harm.

**M** Lot No. 062233

**SPECTRUM CHEMICAL MFG. CORP.**
**Gardena, CA 90248 • New Brunswick, NJ 08901**

- |  |   |   |
|--|---|---|
| <p><b>A</b> Bar Code</p> <p><b>B</b> GHS Hazard Pictograms</p> <p><b>C</b> Warning, Safety Data and Precautionary Information</p> <p><b>D</b> Product Catalog Number</p> <p><b>E</b> Item Name, Form, Grade</p> <p><b>O</b> Storage Color Band</p> | <p><b>F</b> Size/Quantity</p> <p><b>G</b> Chemical Abstracts Service (CAS) Registry Number</p> <p><b>H</b> Caution Information</p> <p><b>I</b> DOT Shipping Information</p> <p><b>J</b> Toll-Free 24-Hour Chemical Emergency Telephone Number</p> | <p><b>K</b> Empirical Formula, Formula Weight and Technical Specifications Panel</p> <p><b>L</b> Expiration Information</p> <p><b>M</b> Lot Number</p> <p><b>N</b> Kosher Certified by Kosher Supervision of America (KSA).</p> |
|--|---|---|

This color-coded band indicates the hazard most likely to be posed by that material under storage conditions. The criteria used to assign band colors have been established by the Storage Code working group of the Society for Chemical Hazard Communication (SCHC). It is recommended that like colors be stored together, unless otherwise stated, based on the color codes indicated to the right. However, it is the responsibility of the customer to check with their local fire department for possible conflicts with our recommendations when storing hazardous chemicals.

- YELLOW:** Oxidizer
- RED:** Flammable
- GREEN:** General Storage
- BLUE:** Health Hazard
- WHITE:** Corrosive

### ***Spectrum Chemical Mfg Corp***

**Corporate Headquarters:**

769 Jersey Ave.  
New Brunswick, NJ 08901

732.214.1300

**West Coast Facility:**

14422 S. San Pedro St.  
Gardena, CA 90248

310.516.8000

January 26, 2016

**RE: Lot Numbering System**

Dear Valued Customer:

This letter is to inform you of Spectrum Chemicals and Laboratory Products' Lot Numbering System. The system is based on an alpha-numerical sequence which provides the month, year and location of production.

The lot numbering system utilized until 2010 is a sequence of **six characters**, two letters followed by four numbers. The first letter represents the year, for example, Y denotes 2009 and Z denotes 2010. The second letter represents the month and site, for example, A-L denotes January through December at Spectrum's Gardena, CA facility, while M-X denotes January through December at the New Brunswick, NJ facility. The following four numbers are sequentially assigned.

**Example:** ZI0928 = The 928<sup>th</sup> material produced in California in September 2010

The lot numbering system utilized for 2011 and forward, is a sequence of **seven characters**. The first character, a number, represents the production facility:

- 1 = Gardena, CA Facility
- 2 = New Brunswick, NJ Facility
- 3 = China Facility

The second character, a letter, represents the year. For example, A denotes 2011 and B denotes 2012. The third character, a letter, represents the month, with A denoting January and L denoting December. The following four numbers are sequentially assigned.

**Example:** 2AA0706 = The 706<sup>th</sup> material produced in New Jersey in January 2011

Thank you for your interest with Spectrum products. Please feel free to contact us at 310-516-8000 or via email at [qualityassurance@spectrumchemical.com](mailto:qualityassurance@spectrumchemical.com) if we may be of further assistance.

Sincerely,



Michael Dang  
Manager, GMP Compliance



## Stability – Shelf Life Guidance

Product Covered: AL228, Aluminum Monostearate, NF

Spectrum Chemical's manufacturing partner has assigned the following shelf life guidance for the product listed above.

The product, AL228, Aluminum Monostearate, NF, is assigned a 24 months shelf life from date of manufacturing. The quality and integrity of the chemical depends on the handling and storage conditions. Please refer to the Safety Data Sheet for proper storage and handling procedures.

Adan R. Hernandez  
Quality Control Manager

A handwritten signature in black ink, appearing to read "Adan R. Hernandez".

Corporate Headquarters  
769 Jersey Avenue  
New Brunswick, NJ 08901-3605